

Docket No.: PZ003P3

(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Ruben et al.

Application No.: 09/853,161

Confirmation No.:

Filed: May 11, 2001

Art Unit: 1647

For:

Antibodies to Protein HPMBQ91 (As

Examiner: R. B. Kapust

Amended)

## SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 § CFR 1.56

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure imposed by 37 C.F.R. § 1.56 to inform the Patent and Trademark Office of all references coming to the attention of each individual associated with the filing or prosecution of the subject application, which are or may be material to the patentability of a claim of the subject application, Attorneys for Applicants hereby direct the Examiner's attention to references AB-AI listed on the attached Form PTO/SB/08. A copy of each of references AB-AI is enclosed.

The above information is presented so that the Patent and Trademark Office can determine any materiality thereof to the claimed invention. See 37 CFR § 1.104(a) concerning the PTO duty to consider and use any such information. It is respectfully requested that the information be considered during the prosecution of this application.

Identification of the listed references is not to be construed as an admission of any individual associated with the filing or prosecution of the subject application that such references are available as "prior art" against the subject application. Furthermore, Applicants do not waive any rights to appropriate action to establish patentability over any of the listed documents should they be applied as references against the claims of the subject application.

03/22/2004 SFELEKE1 00000106 083425 09853161

Application No.: 09/853,161 Docket No.: PZ003P3

Applicants respectfully request that the Examiner review the listed references and that the reference(s) be made of record in the file history of the application.

Pursuant to 37 C.F.R. § 1.97(c)(2), the Patent Office will consider this Second Supplemental Information Disclosure Statement if filed before the mailing date of a final Office Action under §1.113, a Notice of Allowance under §1.311, or an action that otherwise closes prosecution in this application and accompanied by the fee set forth in § 1.17(p). Accordingly, the required fee of \$180.00 is transmitted herewith with the concurrently filed Fee Transmittal.

Please charge our Deposit Account No. 08-3425 in the amount of \$180.00 covering the fee set forth in 37 CFR 1.17(p). The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 08-3425.

Dated: March 19, 2004

Respectfully submitted,

Janet M. Martineau

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| Substitute for form 1449A/B/PTO |   |      |          | Complete if Known      |                        |  |
|---------------------------------|---|------|----------|------------------------|------------------------|--|
|                                 |   |      |          | Application Number     | 09/853,161-Conf. #5950 |  |
| IN                              | <b>IFORMATIO</b>  | N DI | SCLOSURE | Filing Date            | May 11, 2001           |  |
| S.                              | STATEMENT BY APPLICANT  (Use as many sheets as necessary) |      |          | First Named Inventor   | Steven M. Ruben        |  |
|                                 |   |      |          | Art Unit               | 1647                   |  |
|                                 |   |      |          | Examiner Name          | R. B. Kapust           |  |
| Sheet                           | 1   | of   | 1        | Attorney Docket Number | PZ003P3                |  |

| U.S. PATENT DOCUMENTS |              |  |                                |  |   |
|-----------------------|--------------|--|--------------------------------|--|---|
| Examiner<br>Initials* | Cite<br>No.1 | Document Number  Number-Kind Code <sup>2</sup> ( <i>if known</i> ) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       |              |  |                                |  |   |

| FOREIGN PATENT DOCUMENTS |              |  |                                   |  |   |    |
|--------------------------|--------------|--|-----------------------------------|--|---|----|
| Examiner<br>Initials*    | Cite<br>No.1 | Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) | Publication<br>Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear | T⁵ |
|                          | AB           | WO-01/36979-A2   | 05-25-2001                        | University of Reading                              |   |    |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

|                      | NON PATENT LITERATURE DOCUMENTS |   |                |  |  |  |
|----------------------|---------------------------------|---|----------------|--|--|--|
| Examiner<br>Initials | Cite<br>No. <sup>1</sup>        | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |  |  |  |
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|                      | ΑI                              | Swiss-Prot entry Q9UHFO, "TKNK_HUMAN," (2001).  |                |  |  |  |

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

| Examiner  | Date       |
|-----------|------------|
| Signature | Considered |
|           |            |

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.